Important Medical Device Advisory

Premature Battery Depletion with Implantable Cardioverter Defibrillator

Affected International Models can be found in the Appendix to this letter

10 October, 2016

Dear Doctor,

We are advising you of a risk of premature battery depletion associated with St. Jude Medical ICD and CRT-D devices manufactured before May 23, 2015. Affected models include FortifyTM, Fortify AssuraTM, Quadra AssuraTM, Quadra AssuraTM, UnifyTM, Unify AssuraTM and Unify QuadraTM.

Among 398,740 devices sold worldwide, 841 devices returned for analysis due to premature battery depletion have had evidence of lithium material in the form of "clusters" in the battery. Forty-six (46) exhibited visible clusters bridging the cathode and anode causing shorting. Lithium cluster formation is a known phenomenon with this type of battery.

We are contacting physicians to provide details regarding risk and patient management recommendations because premature battery depletion has been observed to occur within days. There have been 2 deaths that have been associated with the loss of defibrillation therapy as a result of premature battery depletion.

Mode and Identification of Premature Battery Failure

High voltage devices (ICDs and CRT-Ds) that utilize Lithium-based battery chemistries are subject to Lithium cluster formation during high voltage charging. Depending on their location, Lithium clusters may cause a short circuit that can lead to premature battery depletion. Our investigation indicates that if a short circuit occurs, battery depletion can occur in these devices within a day to a few weeks, which may result in the inability to deliver therapy.

Premature battery depletion can be identified by physicians through home monitoring or in person visits showing ERI or more advanced battery depletion. Patients may become aware when their device reaches ERI because they may feel a vibratory patient notifier alert. Patients who cannot feel the vibratory alert may not know their device has reached ERI. Therefore, we have provided recommendations below that include confirming patients can feel and recognize vibratory alerts and reaffirming the availability and usage of home monitoring to avoid or minimize time without device therapy for bradycardia and tachycardia events.

Estimation of Rate of Premature Battery Failure

A precise estimate of the rate of premature battery failure is difficult to obtain due to potential underreporting of battery depletion in general and battery depletion which may be due to this failure mode but not recognized.

841 returned devices (0.21%) of 398,740 devices worldwide have premature depletion in association with lithium clusters. Forty-six (46) devices worldwide had visible electrical shorting due to lithium clusters. See Table 2 below for details.

At this time 349,852 affected devices are still in service worldwide and, therefore, potentially at risk.

Patient Management Recommendations

In consultation with our Medical Advisory Board, we recommend the following:

- Do not implant unused affected devices.
- Conduct patient follow-up per standard practice.
- **Prophylactic device replacement is** <u>NOT</u> **recommended** because complications following replacement have been reported to occur at a greater rate than the rate of harm associated with premature battery depletion due to lithium cluster induced shorts (see appendix for selected references).
- In the event of an ERI indicator in these devices, immediate device change is recommended. At this time there is no factor, method or test to identify devices with this form of premature battery depletion approaching ERI or to accurately predict remaining battery life once ERI appears.
- Physicians should reaffirm the availability of home monitoring to avoid or minimize time without device therapy for bradycardia and tachycardia events.
- Enroll patients in Merlin.net utilizing the "Direct Alerts" feature to provide you with an immediate alert notification in the event ERI is reached. For patients currently enrolled in Merlin.net, remind them of the importance of using remote monitoring.
- **Review the most recent Programmed Parameters printout** (see attached for an example).
 - Ensure that under the "Trigger Alerts When" section, that the "Device at ERI" parameter is ON (it is normally ON) for both "Show on FastPath" and "Notify Patient" selections.
 - If the "Device at ERI" alert is OFF, we recommend that the patient be seen promptly to program this parameter ON.
- Advise patients that an ERI indication triggers a vibratory alert. At the next scheduled office visit:
 - Interrogate the patient's device to determine if an ERI alert has been triggered. Premature battery depletion can be identified by physicians through home monitoring showing ERI or more advanced battery depletion.
 - Perform a patient notifier test to confirm that the patient feels and recognizes the vibratory alert.
 - Patients who cannot feel the vibratory alert may experience loss of battery and/or loss of device function without their awareness.
 - Advise the patient to contact your office promptly should they feel a vibratory alert.
 - In-office evaluation should be performed to determine the reason for the alert as other noncritical events can also trigger a vibratory alert.

We recognize that individual patients may require unique clinical considerations. If the decision is made to replace an affected device for individual patient circumstances, St. Jude Medical will provide a replacement device at no cost. Please return any explanted devices to SJM for further evaluation.

Should you have questions about patient management, including observed changes in battery longevity, please contact your local Sales Representative or St. Jude Medical Technical Services at +46-8474-4147, which is available 24 hours a day, 7 days a week.

Your St. Jude Medical representative will replace any affected inventory you may have at your center(s). To determine if a device serial number is subject to this advisory, please go to the following website: www.sjm.com/batteryadvisory

We apologize for any difficulties this causes you and your patients.

Sincerely,

Jeff Fecho Vice President, Global Quality

Attachments

| Model | Trade Name | Model | Trade Name | Model | Trade Name | Model | Trade Name |
|-------------|---------------------------------|----------------------------|------------------------|------------|-------------------------|-------------------|-------------------------|
| CD1233-40 | Fortify™ VR | CD2233-40Q | Fortify™ DR | CD3251-40 | Unify Quadra™ | CD3361-40C | Unify - Assura™ |
| CD1233-40Q | | CD2235-40 | Fortify™ ST DR | CD3251-40Q | | CD3361-40Q | |
| CD1235-40 | Fortify™ ST VR | CD2235-40Q | | CD3255-40 | Unify Quadra MP™ | CD3361- 40QC | |
| CD1235-40Q | | CD2259-40 | Fortify | CD3255-40Q | | CD3367-40 | Quadra |
| CD1259-40 | Fortify | CD2259-40Q | Assura™ DR | CD3261-40 | Unify | CD3367-40C | |
| CD1259-40Q | Assura™ VR | ssura™ VR CD2299-40 | | CD3261-40Q | Assura™ | CD3367-40Q | Assura™ |
| CD1299-40 | HeartMinder™ | CD2299-40Q | ST DR | CD3267-40 | Quadra Assura™ | CD3367- 40QC | |
| CD1299-40Q | ST VR | CD2359-40 | Fortify Assura™ DR | CD3267-40Q | | CD3371-40 | Quadra Assura MP™ |
| CD1359-40 | Fortify Assura™ VR | CD2359-40C | | CD3271-40 | Quadra Assura MP™ | CD3371-40C | |
| CD1359-40C | | CD2359-40Q | | CD3271-40Q | | CD3371-40Q | |
| CD1359-40Q | | CD2359- 40QC | | CD3281-40 | Excelis Quadra™ | CD3371- 40QC | |
| CD1359-40QC | | CD2391-40C | HeartMinder™ . + DR | CD3281-40Q | | CD3385-40C | Quadra + Excelis™ |
| CD1391-40C | HeartMinder™ 400 + VR | CD2391- 40QC | | CD3297-40 | Excelis™ CRT-D | CD3385- 40QC | |
| CD1391-40QC | | CD3235-40 | Unify™ | CD3297-40Q | | CD3389-40C | Excelis™ + |
| CD2233-40 | Fortify™ DR | CD3235-40Q | | CD3361-40 | Unify Assura™ | CD3389- ™ 40QC | |

<u>APPENDIX</u> <u>Table 1 – O.U.S. Models</u>

Table 2 - Rates

The table below summarizes the worldwide experience for the affected devices that were returned for product analysis due to premature battery depletion. In these 841 devices, 46 batteries had confirmed shorts due to Lithium clusters that bridged the battery's cathode and anode. In the remaining 795 devices a battery short was not confirmed by returned product analysis, but the presence of Lithium clusters was noted during battery analysis and no other cause for premature battery depletion was identified. Therefore, we have included both confirmed and unconfirmed shorts in the rate table below to help you assess the risk to your patients:

| Patient Impact | Confirmed Shorts / Rate | Unconfirmed Shorts / Rate | Total / Rate |
|---------------------------------------|----------------------------|------------------------------|--------------|
| Additional Surgery Only | 46 / 0.012% | 746 / 0.187% | 792 / 0.199% |
| Loss of Pacing – Minor (Dizziness) | 0 / 0.000% | 37 / 0.009% | 37 / 0.009% |
| Loss of Pacing – Major (Syncope) | 0 / 0.000% | 10 / 0.0025% | 10 / 0.0025% |
| Loss of Defibrillation - Death | 0 / 0.000% | 2 / 0.0005% | 2 / 0.0005% |
| Total | 46 / 0.0115% | 795 / 0.199% | 841 / 0.211% |

Device Replacement Complication Publications

1) John W. Moore III, William Barrington, et. al.; "Complications of replacing implantable devices in response to advisories: A single center experience"; International Journal of Cardiology 134 (2009) 42–46 (5.5% overall. 2.1% major complications)

2) Paul A. Gould, MBBS, PhD, Lorne J. Gula, MD, et. al.; "Outcome of advisory implantable cardioverterdefibrillator replacement: One-year follow-up"; Heart Rhythm, Vol 5, No 12, December 2008 (9.1% overall, 5.9% major complications. including two deaths)

3) Krystina B. Lewis, Dawn Stacey, R.N., Ph.D, et. al.; "Estimating the Risks and Benefits of Implantable Cardioverter Defibrillator Generator Replacement: A Systematic Review; PACE, Vol. 39, July 2016 (7.5% overall, 4.0% major complications)